

MICROCOPY RESOLUTION TEST CHART NATIONAL BUREAU OF STANDARDS-1963-A

# NAVAL POSTGRADUATE SCHOOL Monterey, California



# THESIS

DTIC ELECTE NOV 30 1982

The Preliminary Personnel Data Base Design for the Indonesian Navy

by

Moedjiono

June 1982

Thesis Advisor:

Norman F. Schneidewind

Approved for public release; distribution unlimited

THE FILE COPY

82 11 30 066

SECURITY CLASSIFICATION OF THIS PAGE (When Date Entered)

REPORT DOCUMENTATION PAGE	READ INSTRUCTIONS BEFORE COMPLETING FORM						
A D- A 12 1955	3. RECIPIENT'S CATALOG NUMBER						
The Preliminary Personnel Data Base	S. TYPE OF REPORT & PERIOD COVERED Master's Thesis; June 1982						
Design for the Indonesian Navy	6. PERFORMING ORG. REPORT NUMBER						
7. AUTHOR(e)	B. CONTRACT OR GRANT NUMBER(s)						
Moedjiono							
9. PERFORMING ORGANIZATION NAME AND ADDRESS	10. PROGRAM ELEMENT PROJECT TASK						
Naval Postgraduate School Monterey, Ca. 93940							
11. CONTROLLING OFFICE NAME AND ADDRESS	12. REPORT DATE June 1982						
Naval Postgraduate School Monterey, Ca. 93940	13. HUMBER OF PAGES 72						
Naval Postgraduate School	Unclassified						
Monterey, Ca. 93940	15a DECLASSIFICATION DOWNGRADING						
is. DISTRIBUTION STATEMENT (of this Report)							
Approved for public release; distribution unlimited							
17. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if different for	im Report)						
IS. SUPPLEMENTARY NOTES							
19. KEY WORDS (Continue on reverse elds if necessary and identif, by block number	,						

Data Base Systems analysis
Data model Systems design

Data management Personnel management

Data dictionary Career

10. ABSTRACT (Continue on reverse side it necessary and identify by block manber)

Currently the Indonesian Navy Computer Center (Dispullahtal) has a need for a Personnel Data Base System which satisfies all of the required applications. By analyzing the aspects of the personnel management in the Navy and the functions required to implement the management policies, the author has determined the data—

focusity CLASSIFICATION OF THIS PAGETYNES Role Selected

element' relationships. Further, from the data elements' relationships and using logical Data Base model design methods, the author has derived a Personnel Data Base model. Topics discussed include: transformations of data elements between their logical views and physical representation, security, Data Base dictionary structure and characteristics, journalizing transactions to facilitate Data Base recovery, and Data Base administration. The author's efforts indicate that the Personnel Data Base presented herein is worthwile and should be implemented. This involves the organization and formation of a Data Base Administration, and continuation and completion of the design.

Acces	sion For
DTIC	
	ounced  fication
By Distr	ibution/
Avai	lability Codes
Dist A	Avail and/or Special



Approved for public release; distribution unlimited

## The Preliminary Personnel Data Base Design for the Indonesian Navy

by

Mcedjiono
Captain, Indonesian Navy

Submitted in partial fulfillment of the requirements for the degree of MASTER OF SCIENCE IN COMPUTER SCIENCE

from the
NAVAL POSTGRADUATE SCHOOL
June 1982

Author:

Approved by:

airean, Department of Computer Science

lu. M. Woods

Dean of information and Policy Sciences

#### ABSTRACT

Currently the Indonesian Navy Computer Center has a need for a Personnel Data Base System (Dispullahtal) which satisfies all of the required applications. lyzing the aspects of the personnel management in the Navy and the functions required to implement the management policies, the author has determined the data elements' relationships. Further, from the data elements' relationships and using logical Data Base model design methods, the author has derived a Personnel Data Base model. Topics discussed transformations of data elements between their include: logical views and physical representation, security, Base dictionary structure and characteristics, journalizing transactions to facilitate Data Base recovery, and Data Base The author's efforts indicate that administration. Personnel Data Base presented herein is worthwile and should be implemented. This involves the organization and formation of a Data Base Administration, and continuation and completion of the design.

#### TABLE OF CONTENTS

ALLEGATION TO SECONDARY TO SECO

I.	INTRODUCTION	• • • • • • • •	7
II.	USER REQUIREMENTS DEFINITIONS AND ANA	LYSIS 1	1
	A. GENERAL	1	1
	B. ASPECTS OF NAVAL PERSONNEL MANAGEM	ENT 1	2
	1. The Manpower Building Aspect .	1	13
	2. Personnel Administration	1	3
	3. Conclusions	1	5
	C. TYPICAL USER REQUEST AND APPLICATI	ons 1	16
	D. DATA ELEMENTS AND THEIR GROUPINGS	1	9
III.	. DATA BASE MODEL DESIGN		24
	A. GENERAL		24
	B. APPLICATIONS TO DATA ELEMENTS GROU	PS MAPPING ANALYSIS 2	8:
	C. DATA MODEL DESIGN	3	33
	D. SPECIAL DISCUSSIONS	3	8
	1. Personal Serial Number		18
	2. Security Group		39
	3. Data Dictionary/Directories (DD		39
	4. Journalizing	•	<b>.</b> 1
	5. Administration		2
IV.	. CONCLUSIONS AND RECOMMENDATIONS	4	<b>,</b> 4
APPE	PENDIX A - LIST OF DATA ELEMENTS FROM FI	LE SYSTEM 4	15
APPE	PENDIX B - DATA BASE DICTIONARY	4	9
APPE	PENDIX C - DATA BASE PERSONNEL TABLES .	5	9
LIST	ST OF REFERENCES	6	9
THITT	TOTAL DISTRIBUTION LIST		11

#### ACKNOWLEDG BHENT

The author would like to acknowledge the assistance of Dispullahtal (Indonesian Naval Computer Center) which provided the supporting data and references needed for this thesis research; the aid of Professor Norman P. Schneidewind and Frofessor Norman R. Lyons in supervising this thesis research; and the help of Captain Peter L. Jones for assisting in the editing of this thesis.

#### I. INTRODUCTION

Since 1977 Dispullahtal (Indonesian Navy Computer Center) has worked at developing a Personnel Data System (PDS) with emphasis on collecting data, and then processing and presenting information to users (especially the top management) as it is needed to facilitate decision-making.

The data which has been collected by Dispullahtal using PDS for each person employed by the Department of Navy, both military and civilian, comes primarily from Januin persal (Department of the Navy Personnel Administration) as well as other departments involved with personnel administration and treatment. After its collection, the data is stored in files which are related to the various applications in the PDS. Currently the PDS only includes the functions of data storage and information retrieval. Since 1977 Dispullahtal has succeeded in convincing the system's users that their needs can be served and that now is the time to expand the PDS. The period for this expansion has been designated as "Data Mechanization." The users have come to realize that they depend on Dispullahtal for the processing of data and reporting of information which is timely and accurate. Prior to 1979, the system was not able to provide these services in the manner required because of the lack of up-to-date

Within the context of this thesis, Data and Information are meant to have two distinct meanings. Data refers to facts collected from observations or measurements, or refers to the values physically recorded in the file or Data Base. Information refers to the meaning assigned to those fact and values as a result of interpretation, correlation, etc. of data. Data is processed into information so that it can be understood and employed by users. [Ref. 3]

information in the system's files. Seeing this problem, the Navy conducted a census of its personnel in 1979 and this data is currently being integrated into the system.

According to the current user requirements, Dispullahtal should be able to respond immediately, and at any time, with information such as historical data (e.g. historical rank, education, profession etc.) on any individual. For these requirements Dispullahtal has started organizing all the data into a Data Base System. This leads to the next phase of Dispullahtal's planning which is "Automation."

Data Base Systems are needed for accomplishing the requirements and demands because information is only of value so long as it supports the decision-making process and results in better decisions. To be useful for decision-making, information must be current. For the information to be current, the data on which it is based must also be current. Current, accurate information is essential for the effective operation of an organization. Therefore, it is necessary to have a means of collecting, organizing, storing, and correlating the data and to be able to extract and distribute the information as it is needed.

## C. J. Date [Ref. 3] defines a Data Base system as

a computer-based recordkeeping system: that is, a system whose overall purpose is to record and maintain information. The information concerned can be anything that is deemed to be of significance to the organization the system is serving-anything, in other words, that may be necessary to the decision-making processes involved in the management of that organization...

Data Base system involves four major components: data, hardware, software and users.

Date further defines a Data Base as

Reference to the second second

a collection of stored operational-lata used by the application systems of some particular enterprise.

<sup>2</sup>operational-data would probably include: product data, account data, patient data, student data, planning data.

A Data Base system provides the enterprise with centralized control of its operational data. Some of the advantages that accrue from this centralized control are:

- Redundancy can be reduced,
- Inconsistency can be avoided,
- Data can be shared,

CONTRACTOR OF THE CONTRACTOR O

- Standards can be enforced,
- Security restrictions can be applied,
- Integrity can be maintained, and
- Conflicting requirements can be balanced.

Based on these above mentioned advantages, personal experience in processing personnel data prior to coming to the Naval Postgraduate School, and information gained from courses taken recently at this school, this author is attempting to design "The Elementary Personnel Data Base System for the Indonesian Navy". This Data Base System is proposed in order to fulfill some of the requirements of the Management Information System (NIS) in the Navy as posted in the "System Information System (NIS) in the Navy MIS).

To accomplish this goal, the author obtained information concerning user requirements from Dispullahtal. The three sections of this thesis are:

1. User requirements definitions which analyze the user requirements and data elements.

Jenterprise is simply a convenient, generic term for any reasonably self-contained commercial, scientific, technical, or other type of organization. Some examples are: Hanufacturing Company, Bank, Hospital, University, Government Department.

- 2. Data Base design which considers the data model and special discussions for further implementation.
- 3. Conclusions and recommendations.

At the end are appendices which contain the List of data elements from file system, Data Base Dictionary, and Data Base personnel tables.

Melvin B. Klein and Melvin W. Lifson [Ref. 9] in their lecture notes regarding Systems Engineering says that

A system, to be useful, must satisfy a need. However, designing a system to just meet the current need is not usually sufficient. With few exceptions, the system must be able to meet a continuing and changing need over a specified period of time in order to justify the investment in time, money, and effort.

It is the author's believed that the proposed system will be able to be easily improved according to the technological demands of the future.

#### II. USER REQUIREMENTS DEFINITIONS AND ANALYSIS

#### A. GENERAL

- Bally Marie - Brook and the Constant of Constant of

Wise personnel decisions demand data about the individuals upon which decisions may be based, the special requirements of jobs, and interactions between these two. Systematic procedures exist for gathering this data about people, jobs, job behavior; and for estimating their interrelationships. This should lead to the assurance that

the right people move into the right jobs at the right times and under the right circumstances. [Ref. 5]

Personnel decision-making is a never ending process, encompassing not only individual diagnosis and job analysis, but also job design, career guidance, and personnel development and training. People differ greatly from one another, but this fact does not carry implications about the static or dynamic nature of human abilities, needs, motives, and behavioral tendencies. In fact, the pervasiveness of both the inter- and intra-individual differences, and the temporal changes in human qualities are precisely why psychologists must take such special care in individual diagnosis as a basis for making sound personnel decisions. Scientific evidence about the individuality of people should supplant the hunches, arbitrary judgments, invalid rules of thumb, and methods of trial and error that unfortunately have characterized so many programs of personnel selection and job placement in the past. Learning about people systematically and scientifically is the best avenue toward wise and effective utilization of our human resources.

#### Marvin D. Dunnette [Ref. 5] calls

...personnel selection a gigantic casting process—a process in which auditions occur and in which human behavior is assessed, wisely or unwisely, validly or invalidly. Obviously, the fairness of such auditions and their relevance to performance is the central concern of the personnel decision maker.

Ideally, personnel decision making extends much further to include the possibilities for job redesign, counseling and guidance; the removal of organizational constraints; and the design of specialized training or development programs. Thus mapping the individuality of persons is necessary not only for personnel selection and placement, but for all other personnel programs as well.

The Indonesian Navy takes in hundreds of men each month; they must be placed into an extremely broad range of duty assignments, training programs, and schools. Each man inducted must be utilized somewhere in the system. The problem involves very detailed classification, and it is desirable for both the Navy and the men involved to ensure that assignment procedures successfully achieve a close match between human skills and job requirements.

#### B. ASPECTS OF NAVAL PERSONNEL MANAGEMENT

Paradianal Brosenson

The Management of the Navy's personnel system provides a means to activate the system, use it as a communication tool, conform to the social changes, and coordinate with the other sub-systems in the Navy. This management includes two major aspects, each with several subfunctions: (1) a man-power building aspect which includes functions involves planning and investigation, and (2) a personnel administration aspect which includes functions involving procurement, education and training, assignment, treatment, and separation.

#### 1. The Hanpower Building Aspect

In personnel planning it must be determined what positions must be filled (what professions are needed) and what skills are needed to accomplish the required goals of the Navy's organization. Planning must take place on how to fill these positions either with personnel the Navy currently has or by procuring new personnel. To accomplish this it is necessary to carefully investigate or examine all of the relevant factors, internal and external, which influence the validity and success of this planning. Internal factors include all the problems which relate to the personnel system itself, while external factors are those which come from outside the Navy that directly and/or indirectly influence its personnel planning.

### 2. Personnel Administration

This second aspect of personnel planning includes a number of sub-functions. They are:

#### a. Personnel Procurement

Personnel procurement is the process of gaining manpower for filling vacant positions which cannot be filled from within the organization itself. Efficient procurement personnel must have information concerning the candidate's education, qualifications, experience, skills, etc. After candidates have been selected, their data can be kept and maintained so that it can be used at any time for transfer, new assignment, promotions, etc.

#### b. Personnel Education and Training

Information regarding the education and training of personnel is used mainly for personnel development and promotion. This information is used to match or minimize the difference between skills required to fill a position and the skills possesed by those who will occupy the position. A person's educational background can be used to gain special knowledge needed placing a person in a particular job and to prepare that person for a new assignment. Further, this information can be used to plan and monitor the careers of leaders, or those personnel with special abilities who will be future leaders, to extend their abilities and skills in preparation for future positions.

The results of personnel development can be measured by observing the performance of individuals in gaining necessary skills and abilities. This information can be recorded in the personnel data and used as a basis for further career development.

#### c. Personnel Assignment

Personnel assignment deals primarily with selecting the right people for the right positions. Two aspects must be considered:

- (1) Every vacant position must be filled by a person with the ability to carry out the job in the best manner, and
- (2) The abilities and skills of each person must be fitted to the job so that he feels satisfied with the job area.

In order to accomplish the above, it is necessary to have a profile of the skill/ability of each position, a

profile of the skill/ability of each person concerned, and a periodic evaluation of each person.

#### d. Personnel Treatment

personnel treatment deals with the physical aspects of the person and the job. These include such things as mental and physical health, physical work and recreation, rewards, and personnel services. The latter benefits include transportation, salary, retirement plans and insurance, vacations and annual and sick leave, and cooperation between the worker and the employer.

#### e. Personnel Separation

Personnel separation occurs when the person voluntarily asks to be released from the military or goes through the process of retirement. Information about the people who are terminating must be completed in order for the personnel management system to give leads (directions) to other opportunities and fields. This can be beneficial to both the individual and to the system. If the person voluntarily leaves, the system should be able to gain valuable insights into ways of improving the Navy so as to reduce the number of voluntary separations.

#### 3. Conclusions

It can be clearly seen that the personnel management system must have a great deal of relevant information in order to proceed efficiently and effectively through all of the aspects involved. This supporting information must be complete and it must be up-to-date. This can be accomplished only if the relevant data is also complete and

up-tc-date. Moreover, in order to meet the needs of all of the functions, management must also keep historical data such as rank, profession, education, etc.

It can also be seen that one function may need the same information as another function. For example, the education and training function needs information about the educational history of a person so that it can be used to fit/match an assignment or profession to that person. This same information would again be used at the time of separation for helping the person into a new position.

If each function were separately filed and managed, the information for each individual, there would be great duplication. In addition, if the data in one file changed, the data in the other files would also need to change; this would necessitate a great deal of time and energy in updating several files containing the same information. In order to prevent this duplication of files and to save time and energy, a Personnel Data Base is needed. This would be a collection of data, either historical or otherwise, which could be used in all functions of personnel management. Updating, inserting, and deleting of information could be performed only once. This would become the Personnel Data Base.

#### C. TYPICAL USER REQUEST AND APPLICATIONS

Before discussing a typical Navy user information request, it is necessary to define what the user is in this system. According to C.J. Date [Ref. 3] there are three broad classes of users:

1. The application programmer, who is responsible for writing application programs which use the Data Base. These application programs operate on the data in all

the usual ways: retrieving information, creating new information, and deleting or changing existing information. The programs themselves may be conventional batch applications, or they may be on-line programs that are designed to support an end-user interacting with the system from an on-line terminal.

- 2. The end-user, who accesses the Data Base from a terminal. An end-user may employ a query language provided as an integral part of the system, or he or she may invoke a user-written application program that accepts commands from the terminal and in turn issues requests to the DBMS on the end-user's behalf. Either way the user may, in general, perform all the functions of retrieval, creation, deletion and modification, although it is probably true to say that retrieval is the most common function for this class of user.
- 3. The Data Base Administrator (DBA), who is the person (or group of persons) responsible for overall control of the Data Base system. This control includes:
  - a) Deciding the information content of the Data Base.
  - b) Deciding the storage structure and access strategy.
  - c) Making liaison with users.
  - d) Defining authorization checks and validation procedures.
  - e) Defining a strategy for backup and recovery.
  - f) Monitoring performance and responding to changes in requirements.

In this thesis the author defines the user according to the three classes mention above and according to who uses this Data Base.

According to the SIP-TNI-AL (Navy MIS) [Ref. 10], system's users include the following:

- 1. Headquarters level:
  - a) Manager level,
  - b) Staff level,
  - c) Central executive level,
  - d) Support level,
  - e) Field technical executive.
- 2. Station level:
  - a) Manager level,
  - b) Staff level,
  - c) Executive level: i.e., command executive, operational group, ship, Marine.
- 3. Extra Structural level: This level is the nonstructured organization.

Based on the two major aspects of personnel system management and their breakdowns into functions, some examples of user requests and applications might include:

- 1. List all officers with rank of Colonel who have education at the Staff and Command College level, and have held command of a frigate ship.
- 2. List each Lieutenant Colonel's profession from Ensign through current profession, and their educations either military or general.

3. Recapitulate an officer's career from First Lieutenant through Colonel.

कि कि कि कार्य के विभाग कि विभाग कार्य कार्य कर कर कर थे, या भारत कार्य कार्य कार्य कार्य कार्य कार्य कार्य का

- 4. List the data characteristics of a person.
- 5. Present an information list for rank promotion purposes, listing the matrix value calculation. Include rank, profession, education, and security clearance.
- 6. Process the automatic monthly payments.
- 7. Show a list of family members (spouse, children, etc).

The output forms for this information are not included in this thesis, as they can vary. Also, the applications of this information may change and become more complicated according to the user requests.

#### D. DATA ELEMENTS AND THEIR GROUPINGS

A trend toward more integrated file structures has resulted in the grouping together of all data items relevant to the management and operations section of a user organization. The emerging Data Base concept requires placing all relevant data in one Data Base in a consistent and standardized manner, eliminating unnecessary duplication and file handling, and providing selective inquiry and extraction capabilities designed to meet a wide variety of information requests.

In order to meet the requirements, the author had to extract specific data elements from the 1979 Navy census and from the file system with minor modifications. The file system as it is currently structured contains 100 (one hundred) separate data elements which can be extracted. These data elements are posted in Appendix A.

After making analysis of them, eliminating some redundant or unnecessary duplications and adding some data elements which are needed for the Data Base model, the author has restructured the Data Base so that it now contains only 97 (ninety seven) data elements which are divided into two basic groups:

- 1. Data elements that are almost static in relation to others. These elements consist of data that will not be frequently changed. These data elements are also divided into two smaller groups:
  - a) Data elements which will frequently be used or retrieved by applications programs would be grouped in Main Identification. This group includes eight data elements: personnel serial number, name, corps, sex, birth's date, religion and tribe.
  - b) Data elements which would be seldomly retrieved by applications programs are grouped in Personnel Characteristics. This group would usually be used for intelligence purposes. This group is divided further into four subgroups:
    - i) Harriage subgroup (this group may be repeated) which contains two data elements: marital status, and date of marriage.
    - ii) Address subgroup (this group may be repeated) which contains three data elements: address, housing status, and date of housing status.
    - iii) Body characteristics subgroup (this group will occur only once) which contains eleven data elements: weight, height, blood type,

hair, colour of skin, colour of eyes, size of shoes, size of hat, size of pants, size of shirt, and size of chest.

- iv) Category and status subgroup (this group will occur only once) which contains seven data elements: original personal status, date of original personal status, current personal status, date of current personal status, personal category, date of personal category, and active duty obligation time (active duty service began).
- 2. Data elements that are dynamic, frequently changed, and which most require replication for historic purposes. These data can be subdivided into several groups according to their corresponding historical data applications. These groups include:
  - a) Rank group (this group is repeated) which contains seven data elements: rank, rank status, date of rank, number of decision letter, date of decision letter, who gave the decision letter, and type of promotion.
  - b) profession group (this group is repeated) which will contains ten data elements: name of profession, number of decision letter, date of profession, number of professional warrant, date of warrant, group (echelon) of profession, station, reporting date at station, status of placement, and date of placement.
  - c) Education group (this group is repeated) which contains nine data elements: group code of education, educational institution's name, start date, completion date, station, town, result of education, class standing, and class size.

In addition this group contains the repeated subgroups; i.e. Subjects (which contains two data elements: subject name, and grade).

- d) Family group (this group is repeated) which contains seven data elements of each family and members: family name, family relation, sex, date of birth, place of birth, religion, and address. In addition there two repeating occurence subgroups data elements for each family and members:
  - i) Activity & Profession subgroup (this group is repeated) which contains four data elements: name of activity, place of activity, start date, and completion date.
  - ii) Education subgroup (this group is repeated) which contains three data elements: educational institution's name, group code of education, and result of education.
- e) Payroll group: this group need not be broken-down further since it is usually only for the monthly payroll application, which contains forteen data elements: date of beginning payroll, rank in payroll, payroll period (month), number of children authorized family allowance, status of children authorized family allowance, main salary, wife's family allowance, children's family allowance, other family allowances, obligated reductions, rice reductions, other reductions, total salary, and unit of payroll.
- f) Security group (this group is repeated) which contains six data elements: violation/infringe type, what, where, when, why, and how. In addition there are two subgroups for each Violation/Infringe type:

- i) Who Involved subgroup (this group is repeated) which contains three data elements: name involved, personal identification, and profession.
- ii) Heasures subgroup (this group might be repeated) which contains three data elements: action type, start date, and completion date.

Data elements which will become the key to each group can be found in the next chapter (Data Base Model Design).

#### III. DATA BASE HODEL DESIGN

#### A. GENERAL

Considering the foregoing synopsis of user requirements and the DBMS\* (Data Base Management System), a number of important questions are raised. Among these are:

- 1. What are appropriate data structures with which to implement the physical Data Base ?
- What are the properties of a useful data model, and how should they be represented in the physical structures.

In this chapter the author will examine the problem of what the Data Base should look like to the user; i.e., what would be the appropriate data model to implement the real world.

A model is a basic system of constructs used in describing reality. It reflects a person's deepest assumptions regarding the elementary essence of things. It may be called a world view. It provides the building blocks, the vocabulary that pervades all of a person's descriptions. A model is more than a passive medium for recording our view of reality. It shapes that view, and limits our perceptions.

The organization of the data is represented by a data model. A data model is an intellectual tool used to understand the logical organization of data. To understand data models fully, it is necessary to be aware of how people perceive data. Data can be discerned at several levels. At one

 $begin{array}{l} begin{array}{l} beg$ 

level, people logically organize their perceptions of the real world. At another level, they interpret (give meaning to) the real world. Finally, they use data models to describe and record the interpretation of the world as data in their computers or perhaps in some other physical medium.

A data model must be rich enough in structure to describe the significant aspects of the real world, yet it must be possible to determine fairly automatically an efficient implementation of the conceptual scheme by a physical scheme. The conceptual scheme is an abstraction of the real world pertinent to an enterprise.

D. C. Tsichritzis and F. H. Lochovsky [Ref. 18] define a data model as

a pattern according to which data are logically organized. It consists of named logical units of data and expresses the relationships among the data as determined by the interpretation of a model of the world.

One of several data models can be used to represent the interpretation of a model of the world. The main difference between them is the manner in which they represent certain relationships among the data.

Data values, by themselves, say nothing meaningful. Some information will be available if a relationship has been established between the values.

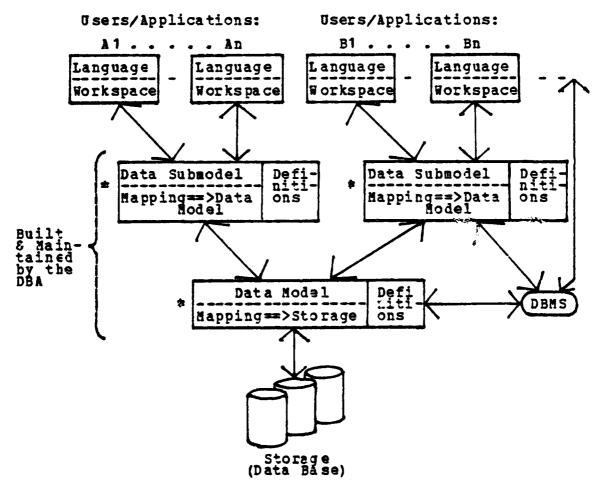
A relationship is an association among several things, with that association having a particular significance. Relationship might be one-to-one, one-to-many, or many-to-many.

There are 3 major data models that have been used in Data Base systems:

1. <u>Relational</u>: this model is based on the set theoretic notion of a relation, that is, a set of k-tuples for some fixed k.

- 2. <u>Hierarchical</u>: this data model is a tree, where nodes might represent data elements groups.
- 3. <u>Network</u>: this is the directed graph model, where nodes represent sets of similar entities and arcs represent associations.

To see the roll or place of the data model in the Data Base System as viewed by users, can be seen in Diagram 1 as a general architecture for a Data Base System extracted from C. J. Date's book [Ref. 3].



Note: \* User/Programmer interface

Diagram 1. A General Architecture for a Data Base System

The Data Base is the data as physically recorded (the storage structure). In between the Data Base and the users is interposed the data model, which is the information content of the Data Base as it is viewed by the users; that is, to the users the data model is the Data Base. The data model definitions define the various types of data model records.

The second of th

In practice most users will be interested only in a small portion of the total data model. The facility is therefore provided of extracting a data submodel from the complete data model by means of a data submodel description. A data submodel may be considered as the restriction of the total data model, to just that portion of interest to a particular group of users (the community user view). It consists of multiple occurrences of multiple types of data submodel record.

Different terms are used to describe analogous concepts in different Data Base architectures. Table 1 lists terms used in those different models (the general model described in Diagram 1, the Data Base Task Group model (DBTG), and the Information Management System model (IMS)) and their correlation. That is, the concepts of data model and data submodel correspond respectively to schema and subshema in DBTG, and Data Base Description (DBD) and the set of all Program Communication Block (PCB) plus the associated mapping definition called Program Specification Block (PSB) in IMS.

<sup>5</sup>A record is a named collection of zero, one, or more data elements or data aggregates.

<sup>\*</sup>Schema is the complete description of all the elements in a Data Base. It includes the hames and descriptions of all data elements, data aggregates, record occurrences, and areas that are part of the Data Base. While Subschema is a consistent and logical subset of the schema from which it was obtained [Ref. 7 and 6].

Frach physical Data Base is defined by a DBD, the mapping of physical Data Base to storage is also specified (at least in part) in the DBD. Each logical Data Base is

<u>Table 1.</u> Comparison of terms in various

Data Base Architectures

	Architecture	, 4000 000 000 000 000 000 000 000
General	DBTG	INS
Data Model	Schema	set of all DBD (Data Base Description)
Cata Submodel	Subschema	set of all PCB (Program Communication Block) plus the associated mapping definition called PSB (Program Specification Block)
Workspace	UWA (User Work Area)	I/O (Input/Output) area
<b>Langua ge</b>	Host Lang- uage + DAL (Data Man- ipulation Language)	Host Language + DL/I (Data Language/I)
DBMS	DBMS	IMS Control Program

#### B. APPLICATIONS TO DATA ELEMENTS GROUPS MAPPING ANALYSIS

The decision to implement a Data Base is motivated by the need to share data among a variety of diverse applications and to integrate data for supporting more sophisticated applications. Both of these requirements complicate the already difficult task of providing safe and efficient access to computerized data. DBMS's have evolved to answer two critical needs: support for more interrelated data and support for sharing data among many diverse applications. These goals are being achieved, in part, by providing DBMS software to physically link related data into complex

defined, together with its mapping to the corresponding physical Data Base, by means of PCB. Each user has its own PSB (that is, PSBs may not be shared, though two distinct PSBs may actually contain the same information). [Ref. 3]

structures using such mechanisms as pointer chains, indices, and sequential positioning. They are also being achieved by the development of Data Base design methodologies and rules such as the practice of storing information in a non-redundant fashion so that changes by one application to the single copy of the data are seen by all its users. In other words, that it is expected that the updates of each user will be apparent to the other users of the data because a major goal of Data Base Management is data sharing.

門外外外衛衛 经外外

1

Table 2 shows the mapping of applications to the data elements groups from the previous chapter (User Requirements Definitions and Analysis) as the external views which serves as a basis for designing a data model.

In the table, the following abbreviations are used:
MAINID for Main Identification, PERSCHAR for Personal
Characteristics, MARR for Marriage, ADDR for Address,
BODYCHAR for Body Characteristics, CATES for Category and
Status, RANK for Rank, PROFF for Profession, EDUC for
Education, SUBJ for Subjects, FAM for Family, FACT for
Families's Activity, FEDUC for Families's Education, PAYROLL
for Payroll, SEC for Security, WHO for Who involve, and MEAS
for Measures.

The external view is information as presented on output reports, displays, etc., and its correspondence to data on input sources. It is this correspondence between the data and the information derived upon which the user's external view is based. [Ref. 14]

Table 2. Applications to Data Elements Groups Mapping

W0	Applications		Data Elements Groups						
NO.		HAINID			PERSCHAR				
					MARR	ADDR	BODYCHAR	CATEG	
1.	Fersonal identifi- cation and the com- tination of all applications		x		x	x	x	X	
2.	Fersonal Characteristic	:	x		x	x	x	x	
3.	Bank promotion and regular reports	:	x					x	
4.	Profession	:	x						
5.	Education	:	x						
6.	Family	:	X						
7.	Payroll	:	x		X			X	
8.	Security	:	x		X	x	x	x	

			Dat	Groups	
NO.	Applications		RANK	PROPF	EDUC + SUBJ
1.	Fersonal identifi- cation and the com- tination of all applications	:	x	x	x
2.	Fersonal Charac- teristic	:			
3.	Bank promotion and regular reports	:	x	x	x
4.	Frofession	:	X	x	X
5.	Education	:	x	X	x
6.	Family	:			
7.	Fayroll	:	x	X	
8.	Security	:	x	x	x

Table 2. (continued)

			Data Elements Groups						
NO.	Applications		(FACT & FEDUC)	PAYROLL	SEC (WHO & MEAS)				
1.	Fersonal identifi- cation and the com- rination of all applications		x	x	x				
2.	Fersonal Charac- teristic	:							
3.	Rank promotion and regular reports	:			x				
4.	Profession	:			x				
5.	Education	:			X				
6.	Family	:	X						
7.	Payrol1	:		X					
8.	Security	:	x	x	X				

Considering the external view and special processing requirements, now the author can determine the required local view\* for each application function, as depicted in Diagram 2. Note: circled numbers 1 through 8 represent the application numbers depicted in Table 2. The relation attribute shown in Diagram 2 is named "need."

The local view represents that portion of the Data Base required to support a particular application function to generate the external view or, in the case of update, to absorb the external view. The collection of local views for the various applications functions using the Data Base determines the requirements of the external view. [Ref. 14]

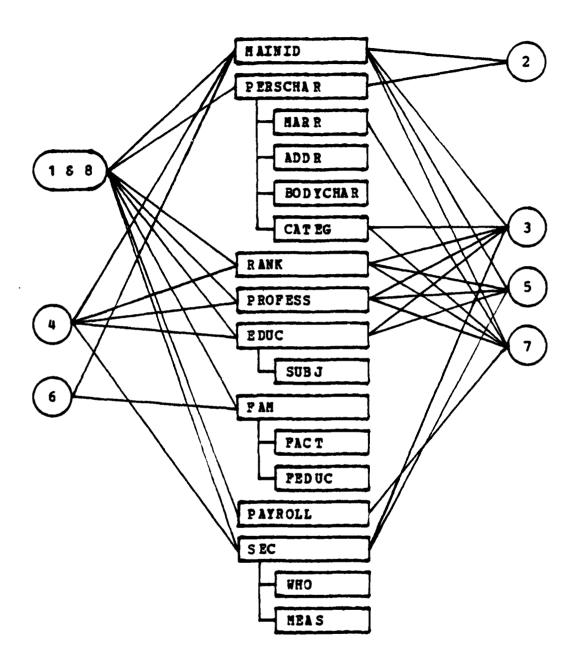


Diagram 2. Local view for each application

#### C. DATA MODEL DESIGN

Research to the factor of the

Now is the time to derive the required content and structure of the internal view 10 which is the end result of the data model design. The primary tool in Data Base design is a good data model design which satisfies the organization's requirements and specifies the conceptual design.

Scme characterization of a "good" conceptual design as extracted from Diane C. P. and J. M. Smith paper [Ref. 16] are as follows:

- Concept complete: derivable concepts should be included.
  - This concept guarantees that no useful objects are left out of the Data Base and that designers are not inappropriately constrained. For many derived concepts the derivation can only be made in one direction, but when the derivation is reversible there may be a performance reason for choosing one object to be the base and the other to be derived.
- Evolvable: it should be locally modifiable and it should be flexible in supporting user interpretations.
  - Locally modifiable in each iterations produced by the design process will make the process becomes easy and time saving. Flexibility of interpretation is another property that facilitates the design process in that new applications may be added with potentially little impact on the existing design.
- Independence of existing installation and DBMS constraints.

<sup>10</sup>The internal view is the complete data structure maintained by the system to generate the multiple local views. The internal view describes the Data Base itself. [Ref. 14]

Develop the design independent of current state limitations and conventions first and then tailor it to the system. When changes occur the original design will be available to facilitate system update or conversion.

From the local view as depicted in Diagram 2, the author can derive the relationship between the data element groups as to the owner and the member 1 as depicted in Table 3. The relationship "is-part-of" is the attribute name of the relationship from the member to the owner, or attribute name "has-"12 from the owner to the member.

Regarding the data model, further Diane C. P. and J. M. Smith [Ref. 16] define each of the following properties contribute to the value of a "good" data model:

- It should be expressive.

A data model should be sensitive to important distinctions, so it will guide its users to include the concepts and objects necessary to a good design.

- It should not over-constrain implementors.

The data model should not imply a particular implementation strategies and its vocabulary should be at a high enough level to be free of implementation connotations.

- A data model should have a formal basis.

This provides the physical designers and implementors with a sound foundation for verifying their work and eliminates the ambiguity.

<sup>11</sup> The cwner is the same as master, superior or parent group which has zero or more member (subordinate or dependent) group of data elements.

<sup>&</sup>quot;-personal characteristic," "-rank,"
"-profession," etc. are substituted for "- ".

Table 3. Data elements groups (as owner-member) relationship

0			Be	aper				
Owner	HAINID	PER SCH AR	BANK	PROFF	EDUC	PAH	PAYROLL	SEC
10000 of 6400		abcd			e 	£g		hi
MAINID		x	x	x	x	x	x	x
PERSCHAR								
		x x x x						
RANK								
PROFF								
ECUC								
					X			
MAS								
						x x		
PAYRO LL								
SIC								
								x x
Ncte: a = M	ARR. b =	ADDR. C	BOD Y	CHAR.	d = (	CATE	3. e = Si	IBJ.

- A data model should be widely applicable.

To produce an integrated design that can be checked for internal consistency, we have to use one data model which encompass applications that are very dynamic, very scientific, and very commercial in their orientation.

f = FACT, g = FEDUC, h = WHO, i = MEAS.

- A data model should be understandable.
  - A data Model should provide some kind of non-technical presentation, pictorial, and utilising the terminology and linguistic constructs of the end user.
- Finally and most fundamentally, a data model must reflect and support human concept formation and understanding.

The composition rules (syntax) of the data model do not force its users to assemble objects in ways that differ from how they would naturally assemble them, because humans have innate mechanisms for coping with complexity.

The utility of a data model depends not just on the properties described above, but also on the existance of a set of rules or a methodology for using it. Without such guidance many designs may be produced even using a good data model before an acceptable one is achieved.

The method for modelling the individual structured components pertinent to an enterprise and their interrelationships is based on mechanisms that human use for thinking about such things. It is the premise of this data model that we as human beings succeed in understanding complex systems by creating abstractions from that can be named and conceived of as a whole. Thus, an abstraction of a system is a collection of details that can be treated as a whole.

Finally from all of the above analysis author can derive a data model as depicted in Diagram 3.

The data elements which are in the groups can be seen in chapter 2 section D (Data Elements and Their Groupings).

The key of each group is: personal serial number for Main Identification group (this also as the main key for

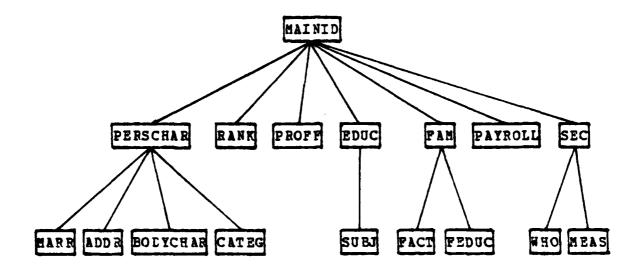


Diagram 3. Personnel Data Model

each person), marital status for Marriage subgroup, housing status for Address subgroup, blood type for Body Characteristic subgroup, current personal status for Category and Status subgroup, rank for Rank group, echelon of profession for Profession group, group code of education for Education group, subject for Subjects subgroup, family relation for family group, name of activity for Activity subgroup, family group code of education for Pamily Education subgroup, unit of payroll for the Payroll group, violation/infringe type for Security group, name involved for Who involved subgroup, and action type for Measures subgroup.

For the complete description see the Data Base dictionary in Appendix B.

### D. SPECIAL DISCUSSIONS

# 1. Personal Serial Number

The personal serial number is the main key in the Personnel Data Base. Many significant differences exist between military and civilian serial numbers. Even within the military there are a large number of major differences. In order to make accessing the Data Base easier, the personal serial number must be transformed into a common form before they are actually stored in the Data Base. Thus, a routine must be designed to transform the various forms of personal serial numbers into a common form before storing them in the Data Base and then transform them back to their original form upon retrieval.

Below are the recommended transformations for personal serial numbers:

# a. Military:

## 1). Officer:

- a). Regular: 00 N N N N N N Example: 8999/P =====> 000008999
- b) Titular: 10 N N N N N N Example: 7188/PT ====> 100007188
- c). Military obligated: 20YYNNNNN

  Example: 7919786/W =====> 207919786

## 2). Warrant Officer and below:

- a). Regular: 30NNNNNNN
  Example: 93246 ====> 300093246
- b). Military obliged: 40YYNNNNN

  Example: 8025134 =====> 408025134

b. Civilian: 5 NNNNNNN

Example: 30599791 ====> 530599791

## 2. Security Group

This group is provided as an additional one because of its importance to users. There is no data available for it at the present in Dispullahtal, but it is maintained in the Intelligence-Security Office.

This group can only be accessed by authorized Intelligence-Security Personnel, and thus it must be given special treatment. Only those who are priviledged to use it can access these groups/segments. Thus, data security 13 is required for this group. The issue of security enforcement involves two things: (1) authorization procedures which not only help in user identification but allow users to determine their own passwords, and (2) control by the system of user actions after authorization is given. Security controls range from simple sign-on procedures that access to the whole system, through passwords that control access to different levels of the Data Base, to elaborate access matrices and programmed procedures. Furthermore, cooperation between the Intelligence-Security officer and the DBA is a must in controlling and maintaining the security data element group.

# 3. <u>Pata Dictionary/Directories (DD/D)</u>

Individual DBMS' have their own methods to predefine data descriptions. Each has a repository for the Data Base

<sup>13</sup>Data security is protection of the data against unauthorized disclosure, alteration, or destruction. [Ref. 17]

description, a language facility to process that description, and a mechanism to input that description to the DBMS.

The general objectives of a DD/D [Ref. 19] are to:

- Prevent unplanned redundancy and inconsistency in application systems development in the areas of source-data collection, processing, secondary storage, and information to users.
- Reduce application systems development and implementation lead times and costs.
- Reduce applications modification lead times and costs.
- Allow for establishment and enforcement of standards relating to data usage and responsibility (format, meaning, validity, timeliness, and so forth).

In most DBMS's the included data dictionary is primarily oriented toward the internal representation or the machine use of the data definition. It defines the internal form, physical location, and access to the stored data. Clay R. Sprowls [Ref. 17] says that the data dictionary is primarily

a directory that defines the internally necessary attributes of the data, their physical characteristics, and stored locations.

The Data Base description does contain some dictionary information oriented toward the user. But it does not provide all of the information that a good dictionary should provide for the variety of users who need access to data descriptions. Clay R. Sprowls [Ref. 17] lists some suggested contents of data dictionary as follows

Usage: status (tentative or approved), effective data,
 programs (used by), and users (both formal and informal).

- Relationships: field (group, record, file, set, network), linkage (pcinters to and from), parent of, child of, scrted order by key, and relative position in data description.
- Administrative control: ownership (department, department position), definition (proposed by, analyst name), authority to access data (to define, to add or delete, to change), security (special provisions), source (input, document description, generated, and algorithm to compute.
- Identification: label, programming language name, synonyms (if same data field is used more than once), textual descriptions (including perhaps keyword descriptions), version number (for validation changes), lost change date (for keeping data definitions current).
- Technical specifications: data type (quantitative or qualitative), use (external and internal), form of each use (length, representation or picture, precision, mode, justification, report form edited), unit of measure, time dependency (for update), accessing (record key or index), data validation, edit masks (valid codes), value range, and test data (for program listing).

An exact list of dictionary contents for an organization and its DBMS depends upon the breadth and emphasis it places on the use of the dictionary. The data dictionary for the Personnel Data Base and its description are depicted in Appendix B.

## 4. Journalizing

A Data Base Management System must provide a means to restore the Data Base to a consistent state that reflects

the situation after some number of transactions were completed. The journal is a basic accounting record in which all transactions of a certain type are recorded. A system journal records every transaction that occurs within the system. Jeffrey D. Ullman [Ref. 20] lists the most general case of journal entries which consists of (1) a unique identifier for any transaction causing a change, (2) the old value of the item, and (3) the new value of the item.

In this Data Base the author suggests that journal entries consist of:

- Identification of the users accessing the Data Base.
- Type of operation accessing the Data Base (update, insert, delete, list, etc.).
- Pcinter to the record being accessed.
- Personal serial number of the record being accessed.
- The old value of the item.
- The new value of the item.
- Time and date of the beginning and of the end of transactions and accesses.
- Summaries which contain the number of personnel in the Navy either military or civilian, number of personnel in each rank.

## 5. Administration

The Data Base administration is the agency which exercises centralized control over the Data Base System. It includes several specialties, including information system analysis, data structure and data storage organization design, security, recovery, auditing, and accounting. Each

of these specialties may be assigned to one individual for an uncomplicated Data Base used under undemanding conditions.

The individual in charge of the Data Base administration is the Data Base Administrator (DBA). The DBA uses the computer to build and operate his tools. Such tools may include system flow analysis tools, data relationship analysis tools, performance simulators, data storage organization and reorganization tools, and other utilities. Beside the DBA the personnel with the specialties mentioned above must be organized to manage and improve the Data Base.

## IV. CONCLUSIONS AND RECOMMENDATIONS

This thesis project explored the step-by-step logical design of the Personnel Data Base model which covers:

- 1. Gathering, recording, and analyzing the user requirements, including the analysis of the applications function and determining data element groupings. This Data Base consists of 97 (ninety seven) data elements which have been divided into 17 (seventeen) data element groups.
- 2. Deriving and designing a logical data model, including the analysis of data element groups relationships, applications to data element groups relationships, and determining the Personnel Data Base model. The structure of the data model designed is hierarchical. The Data Base dictionary was also designed as a directory for the Data Base and is included in Appendix B.

The Data Base design effort is not completed, and can not be, until the logical design is finished. After this has been done the logical design must be transformed to a physical design. The author's recommendations are:

- 1. To continue and to complete the design. This involves applying this data model to a physical machine.
- 2. To form and organize a Data Base administration, identifying the personnel needed to manage and improve the Data Base.

#### APPENDIX A

## LIST OF DATA ELEMENTS PROM FILE SYSTEM

- 1. Personal Serial Number
- 2. Check Digit of the Personal Serial Number
- 3. Duplication Code of the Personal Serial Number
- 4. Batch Number
- 5. Name's Spelling Code (Old or New)
- 6. Name
- 7. Original based name from
- 8. Corps
- 9. Named based originally from
- 10. Current Personal Status
- 11. Date of Current Personal Status
- 12. Personal Category
- 13. Date of Personal Category
- 14. Date of Birth
- 15. Current Station
- 16. Current Rank
- 17. Active Duty Obligation Time (Active Duty Service Began)
- 18. Sex
- 19. Marital Status
- 20. Number of Wives
- 21. Number of Children
- 22. Number of Children Authorized Family Allowance
- 23. Status of Children Authorized Family Allowance
- 24. Status of House
- 25. Blood Type
- 26. Weight

- 27. Height
- 28. Color of Skin
- 29. Hair
- 30. Color of Eyes
- 31. Size of Shoes
- 32. Size of Hat
- 33. Size of Pants
- 34. Size of Shirt
- 35. Size of Chest
- 36. Unit of Administration
- 37. Unit of Payroll
- 38. Unit of Individual's Field Provisions (Equipment)
- 39. Unit of Side Dishes Money
- 40. Religion
- 41. Tribe
- 42. Place of Birth
- 43. Rank
- 44. Status of Rank
- 45. Date of Rank
- 46. Decision Letter of Rank
- 47. Date of Decision Letter of Rank
- 48. Who Gave the Decision Letter
- 49. Type of Promotion
- 50. Date of Profession
- 51. Status of Placement
- 52. Date of Placement
- 53. Station
- 54. Reporting Date
- 55. Profession's Name
- 56. Rank in Profession
- 57. Decision Letter of Profession
- 58. Date of Profession's Decision Letter
- 59. Warrant of Profession
- 60. Date of Warrant of Profession

- 61. Echelon (Group) of Profession
- 62. Group Code of Education
- 63. Start Date of Education
- 64. Completion Date of Education
- 65. Place of Education (Station, Town)
- 66. Educational Institute's Name
- 67. Result of the Education
- 68. Class Standing
- 69. Class Size
- 70. Relative's Identity
- 71. Relative's Name
- 72. Relative's Relation
- 73. Relative's Birth Date
- 74. Relative's Sex
- 75. Relative's Birth Place
- 76. Relative's Religion
- 77. Code of the Relative's Description
- 78. Relative's Description
- 79. Date of Payroll
- 80. Rank in Payroll
- 81. Status of Payroll Period
- 82. Payroll Period
- 83. Marital Status in Payroll
- 84. Main Salary
- 85. Wife's Family Allowance
- 86. Other Family Allowance
- 87. Children Family Allowance
- 88. Extra Earnings
- 89. Main Reduction of Salary
- 90. Rice Reductions
- 91. Advance Payment Reductions
- 92. Housing Reductions
- 93. Loss-damage Reductions
- 94. Other Reductions

- 95. Reduction's Code
- 96. Completion Date for the Reduction of the Advance Payment
- 97. Completion Date for the Reduction of Loss-damage
- 98. Completion Date for Other's Reduction
- 99. Salary Round-off
- 100. Unit of Payroll

#### APPENDIX B

### DATA BASE DICTIONARY

This data dictionary contains descriptions of the Personnel Data Base segments (data elements groups) and their data elements. There are six columns in the table:

- 1. Element Number (ELH #). The data element/segment number contains four digits. The first two digits is the segment number, beginning from the root and increasing by one (leading zeroes suppressed), and another two digits for the data element number in the segment beginning from one and increasing by one. For example: 1005 indicates the tenth segment and data element number five in the segment.
- 2. Data Element (DATA-ELEMENT). This column contains data element/segment name as it is known to the users.
- 3. Data Name (DATA-NAME). This column contains the unique name for data element/segment which is to be used by programmer/user when retrieving data from the Data Base.
- 4. Type (TYPE). This column contains the data element's type where N means Numeric and AN means Alpha Numeric.
- 5. Number of Character (# OF CHAR). This column contains number of characters in the record field of the data element/segment.

6. Description (DESCRIPTION). This column contains the description of the data element/segment. Described are the data element/segment relationships (dependent, root, etc.), key record/segment, administrative control, usage, and identifications. This description helps the programmer/user to find the path to desired data element/segment in the Data Base.

The abbreviations used in the data dictionary table are: DB for Data Base, segm for segment, lev for level, tbl for table and refer to the Personal Tables (numbered in parenthesis) in APPENDIX C. YYMMDD for Year (two digits) Month (two digits) and Date (two digits), occurr for occurrence, depends for dependent, Kg for Kilogram, and Cm for Centimeter.

ELH	DATA- BLEMENT	DATA- NAME	TI- PE	OP CHAR	DESCRIPTION
	Main identification			76	Root segm DB  lev 1, segm 1,  one occurr
101	Personal Serial	SERNUM	N	9	Record key (Main key)
102	Name	NAME	AN	26	Name, title
103	Cor ps	CORPS	N	3	See corps tbl (19)
104	Sex	SEX	N	1	See sex tbl (3)
105	Birth date	DMBIRT H	N	6	TYMMDD
106	Birth place	PMBIRT H	AN	15	Town (city)
107	Religion	RELIGI ON	N	1	See religion tbl (12)
108	Tribe	TRIBE	Y M	15	-

200	Personal	CHARACT			Dependt segm of
	characteristics				root, lev 1,
					segm 2, one
					occurr
300	Marriage	MARR		7	Dependt sequ of
300	narrrage	HAAA		,	CHARACT, lev 3,
					Ť
					segm 3,
					repeated
301	Marital status	MARST	N	1	See marital
					status tbl (4),
					segm key
302	Date of status	MARDT	N	6	YYMMDD
		ADDR			Dependt segm of
					CHARACT, lev 3,
					segm 4,
					repeated
					-
401	Address	ADDRESS			
402	Housing status	HOUSE	N	1	See housing
					status tbl (6),
					segm key
403	Date of status	HOU SED T	N	6	YYMMDD
500	Body characteristic	BODYCH AR		18	Dependt segm of
- •	•				CHARACT, lev 3,
					segm 5, one
					occurr
				_	
	Weight	WEIGHT		3	In Kg
502	Reight	HEIGHT	N	3	In Cm

503	Blood type	Brood	N	1	See blood type tbl (7), segm key
504	Color of skin	SKIN	N	1	See color of skin tbl (8)
505	Hair	HAIR	n	1	See hair tbl
506	Color of eyes	EYES	N	1	See color of eyes tbl (10)
507	Size of shoes	SHO ES	N	2	•
508	Size of hat	HAT	N	2	•
509	Size of pants	PANTS	N	1	See pant shirt tbl (11)
510	Size of shirt	SHIRT	N	1	See pant shirt tbl (11)
511	Size of chest	CHEST	N	2	-
600	Category and status	CAT EG		29	Dependt segm of CHARACT, lev 3, segm 6, one occurr
	Category and status Original personal status		N		CHARACT, lev 3, segm 6, one
601	Original personal	ORP ERS T			CHARACT, lev 3, segm 6, one occurr See personal
601	Original personal status Date of original	ORP ERS T	Ŋ	2	CHARACT, lev 3, segm 6, one occurr See personal status tbl (1)
601 602 603	Original personal status Date of original personal status Current personal	ORPERS T	n n	2	CHARACT, lev 3, segm 6, one occurr See personal status tbl (1) YYMMDD See personal status tbl (1),

606	Date of personal category	DTGORY	N	6	YYMMDD
607	Active duty obligated time (Active service duty began)	DTACT	N	6	YYMHDD
700	Ran k	RANK		39	Dependt segm of root, lev 2, segm 3, repeated
701	Ran k/Group	RANKG	N	2	See rank tbl (18), segm key
702	Status of rank	STRANK	N	1	See status of rank tbl (13)
703	Date of rank	DTR ANK	N	6	YYMMDD
704	Number of decision letter	NBDECL ET	AN	8	Pormat: NNNNMMYY  NNNN: number  MM : month  YY : year
705	Date of decision letter	DTDECL ET	N	6	TYMNDD
706	Who gave the decisi- on letter	GVDECL ET	AN	15	Official functionary
707	Type of promotion	TPPROM	N	1	See type of promotion tbl (14)
800	Profession	PROFES S		71	Dependt segm of root, lev 2, segm 4, repeated
801	Name of profession	nmprof	AN	15	-

802	Number of decision	NBDECP	AN	8	Format: NN NNMMYY NNNN: Number
	letter				
					MM: Month
				_	YY:Year
803	Date of decision letter	DTPROF	N	5	NNNNN-YYMADD
804	Number of professi-	NBWARP	A N	8	Pormat: NNNMMYY
	onal warrant				NNNN: Number
					MM: Month
					YY:Year
805	Date of warrant	DTWARP	N	6	DDMMYY-NNNNN
806	Echelon of	ech elo n	N	2	See echelon
	profession				tb1 (21)
807	Station	STATION	N	3	See station tbl
					(22)
808	Reporting date	DTSTAT	N	6	YYMMDD
809	Status of placement	STPLAC E	N	1	See status of
					placement tbl
					(15)
810	Date of placement	DTPLAC E	N	6	YYMMDC
900	Education	EDUC		73	•
					root, lev 2,
					segm 9,
					repeated
901	Group code of	EDUCCD	N	3	See group code
	education				of education
					tbl (20), segm
					key
902	Educational Insti-	EDUCNM	AN	15	•
	tute's Name				
903	Start date	EDUCSD	N	6	YYMMDD
904	Completion date	EDUCCM	N	6	YYMMDD

905	Station	EDSTAT	N	3	See station tbl (22)
906	Town (city)	edt own	A N	15	•
907	Result of education	RESULT	n	1	See result of education tbl
908	Class standing	CSTAND	N	3	-
909	Class size	CSIZE	N 	3	-
1000	Subjects *	SUBJ		18	Dependt segm of EDUC, lev 3, segm 10, repeated
1001	Subject name	SUBJEC T	A N	15	Segm key
1002	Grade	GRADE	AN	3	Can be numeric or alphabet
					•
	Family	Pan		76	root, lev 2, segm 6, repeated
1101	Family name	Pam Pname	An	26	root, lev 2, segm 6, repeated
1101	Family	FAM			root, lev 2, segm 6, repeated
1101	Family name Family relation	Pam Pname	An	26	root, lev 2, segm 6, repeated Name, title See family relation tbl
1101 1102 1103	Family name Family relation	FAM FNAME FREL FSEX	a n	26 1	root, lev 2, segm 6, repeated Name, title See family relation tbl (17), segm key See sex tbl (3)
1101 1102 1103	Family name Family relation  Sex	FAM FNAME FREL FSEX FDBIRTH	An N	26 1 1	root, lev 2, segm 6, repeated  Name, title See family relation tbl (17), segm key See sex tbl (3) YYMMDD
1101 1102 1103 1104 1105	Family name Family relation  Sex  Birth date	FAM FNAME FREL FSEX FDBIRTH	AN N	26 1 1	root, lev 2, segm 6, repeated  Name, title See family relation tbl (17), segm key See sex tbl (3) YYMMDD Town (city)

1200	Activity	FACT		48	Depends segm of PAM, lev 3, segm 12, repeated
1201	Name of activity	PNACT	AN	26	Segm key
	Place of activity		_		_
	Start date	FSACT			
	Completion date				
1204	Combiesion date				
1300	Family education	PEDUC		16	_
1301	Educational Insti-	PEDNAC T	AN	15	-
	tute's Name				
1302	Group code of education	PCDACT	N	3	See group code of education tbl (20), segmented
1303	Result of education	FEDRES	N	1	See result of education tbl (16)
1400	Payroll	PATROLL		59	Dependt segm of root, lev 2, segm 14, one occurr
1401	Date of beginning	DBPAY	N	6	YYMHDD
1402	payroll Rank in payroll	RKPAY	N	2	See rank tbl
1403	Payroll period	PERPAY	N	3	In month

1404	Number of children authorized family	CHFAM	N	1	-
	allowance				
1405	Status of children	STCHPAM	N	1	See children
	authorized family				allowance sta-
	allowance				tus tbl (5)
1406	Main salary	MAINSAL	N	6	In Rupiah
1407	Wife's Pamily	WFALL	N	5	In Rupiah
	Allowance				
1408	Children Family	CHALL	N	5	In Pupiah
	Allowance				
1409	Other family allo-	OTALL	N	5	In Rupiah
	Allowances				
1410	Obligated reductions	OBRED	N	5	In Rupiah
1411	Rice reductions	RCR ED	N	5	In Rupiah
1412	Other reductions	ot r ed	N	5	In Rupiah
1413	Total salary	TOTSAL	N	6	In Rupiah
1414	Unit of payroll	UNP AY	N	4	See station tbl
					(22), segm key
1500	Security	SEC		35	Dependt segm of
					root, lev 2,
					segm 15,
					repeated
1501	Violation / Infringe	VTY PE	N	1	See violation /
	type				infringe type
					tbl (23), segm
					key
1502	What	WHAT	N	3	See what tbl
					(24)
1503	Where	WHERE	AN	15	(24) Town (city)

1505		<b></b> - ··	N N	-	description is stored in other file with key number here (N 5)
		MHOINA			Dependt segm of SEC, lev 3, segm 16, repeated
1601	Name involved	INVNAM E	AN	26	Segm key
1602	Personal	PERSID	AN	9	Personal Serial
	identification				number or other valid identification
	Profession	PROFIN V			
	Mea sures	MEAS			Dependt segm of SEC, lev 3, segm 17, repeated
1701	Type of action	NMEAS	A N	15	Segn key
1702	Start date	SMEAS	n	6	TYNNDD
1703	Completion date	CMEAS	N	6	TYMMDD
2222	****************		3# 22#	****	*********

#### APPENDIX C

## DATA BASE PERSONNEL TABLES

Each of these tables contains two elements: code and description. Example: "1 Male" indicates code number 1 is Male.

### 1. PERSONAL STATUS:

A. Military:

01 Volunteer

03 Titular

02 Obliged

## B. Civilian:

- 11 Daily\_labourer
- 12 Monthly\_labourer
- 13 Monthly\_labourer organic
- 14 Temporary Government\_official
- 15 Pre\_Government\_official
- 16 Civilian\_Government\_official
- 17 Civilian\_Military\_Titular Government\_official

### 2. PERSONAL CATEGORY:

O Not Clear 5 Waiting for placement

1 Active organic 6 Waiting for direction

2 In charge 7 Pre\_retired

3 In assistance 8 Money waiting (UT)

4 In direction 9 Retired

### 3. SIX:

1 Male 2 Female

4.	MARITAL STATUS:		
	1 Harried	2 Not married	
5.	CEILDREN ALLOWANCE STATUS	:	
	1 Claimed by him/herself	2 Claimed by sp	ouse
6.	BCUSING STATUS:		
	1 Government-quarters	4 Private/owned	
	2 Hess	5 Rented	
	3 Ship	6 Contract/Leas	eđ
	7 With relations	000000000000000000000000000000000000000	•
7.	BLOOD TYPE:		
	1 A	3 AB	
	2 B	4 0	
8.	CCLOUR OF SKIN:		
	1 White	4 Yellow-brown	
	2 Yellow	5 Brown	
	3 Black		
9.	HAIR:		
	1 Straight-lank	3 Straight-stif	f
	2 Curly	4 Weavy	
10.	. COLOUR OF EYES:		
	1 Black	3 Brown	
	2 Blue	4 Green	
11.	. SIZE OF PANTS/SHIRTS:		
	1 Small	3 Large	

12. BELIGION:

2 Medium

1 Moslem

4 Hindu

2 Catholic

- 5 Budha
- 3 Protestant
- 6 Kong Hu Cu

### 13. STATUS OF RANK:

1 Effective

4 Military obliged

2 Temporary

- 5 Military titular
- 3 In education

## 14. TYPE OF PRONOTION:

1 Regular

- 3 Honour (meritorious)
- 2 Extraordinary
- 4 Honour-grace (posthumous)

#### 15. STATUS OF PLACEMENT:

0 Organic

- 3 In assistance (temporary
- 1 Labour (non organic)
- additional duty)
- 2 In charge (detached
  from parent command)
- 4 In direction (independent duty)

### 16. RESULT OF EDUCATION:

1 Graduated

- 3 Incomplete
- 2 Not graduated

## 17. FAMILY RELATION:

0 Spouse

- '5 Child number 5
- 1 Child number 1
- 6 Child number 6
- 2 Child number 2
- 7 Child number 7
- 3 Child number 3
- 8 Child number 8
- 4 Child number 4
- 9 Child number 9

### 18. BANK:

## A. Hilitary:

- 99 Third Sailor
- 98 Second Sailor
- 97 First Sailor
- 96 Second Corporal

- 95 First Corporal
- 88 Second Sergeant
- 87 First Sergeant
- 86 Head Sergeant
- 85 Sergeant Major
- 84 Second Assistant Lieutenant
- 83 First Assistant Lieutenant
- 82 Candidate Officer
- 78 Second Lieutenant
- 77 First Lieutenant
- 76 Captain
- 68 Major
- 67 Lieutenant Colonel
- 66 Colonel
- 58 First Admiral (Commodore) / Brigadier General
- 57 Rear Admiral / Major General
- 56 Vice Admiral / Lieutenant General
- 55 Admiral / General

### E. Civilian:

48	Group	I/A	27	Group	III/E
47	Group	I/B	26	Group	III/C
46	Group	I/C	25	Group	III/I
45	Group	I/D	18	Group	IV/X
38	Group	II/A	17	Group	IA/B
37	Group	II/B	16	Group	IA/C
36	Group	II/C	15	Group	IA/D
35	Group	II/D	14	Group	IA\E
28	Group	III/A			

### 19. CORPS:

## A. Hilitary:

- 100 Sailor/Deck (for Officer only)
- 161 Deck
- 162 Torpedo

- 163 Weapon
- 164 Constable
- 165 Signal
- 166 Telegrams
- 167 Under-water Weaponry
- 200 Technician/Engineer (for Officer only)
- 261 Machinist
- 262 Construction
- 263 Ship Construction
- 264 Airplane Maintenance
- 300 Electronics (for Officer only)
- 361 Radio
- 362 Radio-Radar Mechanic
- 363 Electro-Machine Mechanic
- 364 Electrician
- 365 Sub-weapon Electrician
- 366 Electro Mechanic
- 367 Weapon Electro Mechanic
- 368 Electronica
- 400 Marine (for Officer only)
- 461 Infantry
- 462 Amphibious
- 463 Field Artillery
- 464 Air Defense Artillery
- 465 Tank
- 466 Pansam (Amphibious Tank)
- 467 Transportation
- 468 Zipur (Defense Construction)
- 469 Communication-Electronica
- 470 Nurse
- 471 Field Support
- 500 Administration (for Officer only)
- 561 Writer/Typist

- 562 Finance
- 563 Support
- 564 Family business
- 565 Ccok-1
- 566 Cook
- 567 Tailor
- 600 Health (for Officer only)
- 661 Nurse
- 662 Radiologist
- 663 Analysist
- 664 Dental Technician
- 665 Chemist
- 666 Assistant Chemist
- 700 Specialist (for Officer only)
- 761 Judicature
- 762 Intelligence
- 763 Transportation
- 764 Carpenter
- 765 Physical Pitness
- 766 Musician
- 767 Photography
- 768 Cinematography
- 769 Miscellaneous
- 800 Woman (for Officer only)
- 861 Communication
- 862 Writer/Typist
- 863 Finance
- 864 Information
- 865 Physical Fitness
- 866 Nurse
- 867 Nav-Information Defense
- 868 Air Traffic Controller
- 900 Clergy (for Officer only)

#### P. Civilian:

- 000 Administration
- 001 General Administration
- 002 Pinance Administration
- 003 Labour Administration
- 004 Support Administration
- 005 Nursing Administration
- 006 Technic Administration
- 007 Typist
- 008 Stencil Mechanic
- 009 Nursing Staff
- 010 Statistic Administration
- 011 Law Administration
- 012 Library Administration
- 013 Transportation Administration
- 014 Housing Administration
- 015 Post Administration
- 016 Miscellaneous Administration
- 017 Technic
- 018 Ship Technician
- 019 Engine/machine Technician
- 020 Electro Technician
- 021 Construction Technician
- 022 Carpenter
- 023 Welding Technician
- 024 Telephone-telegraph Fechnician
- 025 Radio Technician
- 026 Mechanic/driver
- 027 Labourer
- 028 Photographer
- 029 Film Operator
- 030 Metal Technician
- 031 Painter
- 032 Weapon Technician

- 033 Fire Safety Inspector
- 034 Constructor
- 035 General Controller
- 036 Shipyard Worker
- 037 Pump Technician
- 038 Railroad Technician
- 039 Meteorological Technician
- 040 Miscellaneous
- 041 Nurse
- 042 Dental Nurse
- 043 General Nursing
- 044 Midwife
- 045 Pharmacy
- 046 Physiotheraphy
- 047 Radiology
- 048 Pediatric Nurse
- 049 General Medical
- 050 Ophthalmologist
- 051 Throat-nose-ear Physician
- 052 Neurologist
- 053 Dermatologist
- 054 Dietitian
- 055 Miscellaneous
- 056 Specialist
- 057 Teacher/instructor
- 058 Messenger
- 059 Cook
- 060 Gardener
- 061 Shoemaker
- 062 Tailor
- 063 Barber
- 064 Janitor
- 065 Porester
- 066 Sketcher

- 067 Security
- 068 Lifequard
- 069 Parking Master
- 070 Fire Brigade
- 071 Physical Fitness
- 072 Artist
- 073 Clergy

J

- 074 Laundry
- 075 Ocean Tide
- 076 Petro-chemical Technician
- 077 Geography
- 078 Miscellaneous

### 20. GROUP CODE OF EDUCATION:

- 000 General development
- 001 National defense
- 002 Joint command & staff college
- 003 Command & staff college level
- 004 2nd Officer continuing education level
- 005 1st Officer continuing education level
- 011 NCO continuing education
- 100 Formation
- 101 Military Academy level
- 102 Fundamental Officer Education level
- 103 Candidate Officer education level
- 111 Candidate NCO education level
- 112 Candidate Corporal education level
- 113 Candidate Enlisted Education level
- 200 Labour
- 201 Labour education level
- 300 General education
- 301 University level
- 302 Academy level
- 303 Senior high school level

- 304 Junior high school level
- 305 Elementary school level (graduated)
- 306 Elementary school level (not graduated)
- 400 Specialist Military education
- 401 Specialist

The second of th

- 402 Officer specialist
- 403 NCO specialist
- 404 Enlisted specialist
- 405 Civilian specialist
- 500 General Course

### 21. FCHELON OF PROFESSION:

11	Echelon	1-A	23	Echelon	2-C
12	Echelon	1-B	24	Echelon	2-D
13	Echelon	1-C	25	Echelon	2-E
14	Echelon	1-D	26	Echelon	2-F
15	Echelon	1-E	31	Echelon	3- A
16	Echelon	1-F	32	Echelon	3-B
17	Echelon	1-G	33	Echelon	3-C
18	Echelon	1-H	34	Echelon	3-D
21	Echelon	2-1	35	Echelon	3-E
22	Echelon	2-8	40	Function	nal

### 22. STATION:

Not included here for security reasons.

## 23. VIOLATION / INFRINGE TYPE:

1 Discipline 3 Negative data 2 Law

#### 24. WHAT:

This table will be completed later by Intelligence / Security officer, since the author does not have experience and data for this.

## LIST OF REFERENCES

- 1. Bracchi, G., and G. M. Nijssen, Data Base Architecture, New York: North-Holland Publishing Company, 1979.
- 2. Cohen, Leo J., <u>Data Base Management Systems</u>, Wellesley: Q. E. D. Information Sciences, Inc., 1981.
- 3. Date, C. J. An Introduction to Database Systems, (Third Edition), Philippines: Addison-Wesley Publishing Company, Inc., 1981.
- 4. Deen, S. M., <u>Fundamentals of Data Base Systems</u>, New Jersey: Hayden Bock Company, Inc., 1977.
- 5. Dunnette, Marvin D., <u>Personnel Selection and Placement</u>, Belmont: Wadsworth Publishing Company,
- 6. Fitzgerald, Jerry., Ardra F. Fitzgerald and Warren D. Stallings. Jr., Fundamentals of Systems Analysis, (Second Edition), New York: John Wiley & Sons, Inc., 1981.
- 7. House, William C., Data Base Management, New York: Petrocelli books, 1974.
- 8. Inmcn, William H., <u>Effective Data Base Design</u>, Englewood Cliffs, New Jersey: Prentice-Hall, Inc., 1981.
- 9. Klein, Melvin B., and Melvin W. Lifson, Systems Engineering, (Lecture Notes), Los Angeles: University of California, April 1970.
- 10. Mabes TNI-AL, Sistem Informasi Pembinaan TNI-AL (UH-I-07), Jakarta: Mabes TNI-AL, August 1979.
- 11. Malone, John S. and Robert P. Thorpe, A Study of the use of Historical Data in Naval Officer Personnel Hanagement, San Diego: Naval Personnel and Training Research Laboratory, Research Report SRR 70-15 (AD 866546), Dec. 1969.
- 12. Martin, Thomas J., Computer Data-Base Organization, (Second Edition), Englewood Cliffs: Prentice-Hall, Inc., 1977.

- 13. Olle, T. William, The Codasyl Approach to Data Base Management, New York: John Wiley & Sons, 1978.
- 14. Raver, N., and G. U. Hubbard, "Automated logical data base design: concepts and applications," IBH Systems Journal, Vol. Sixteen No. 3, pp. 287-312, 1977.

- 15. Ross, Ronald G., Data Base Systems, Design, Implementation, and Manadement, New York: AMACOM, 1978.
- 16. Smith, Diane C. P., and John M. Smith, "Conceptual Data Base Design," <u>Tutorial</u> on <u>Software Design</u> <u>Techniques</u>, New York: IZEE, Inc., pp. 333-356, 1980.
- 17. Sprowls, Clay R., Management Data Bases, New York: John Wiley & Sons, Inc., 1976.
- 18. Tsichritzis, Dionysios C. and Frederick H. Lochovsky, Data Base Hanagement Systems, New York: Academic Press, Inc., 1977.
- 19. Uhrowczik, P. P., "Data Dictionary/Directories," IBM Systems Journal, number 4, pp. 332-350, 1973.
- 20. Ullman, Jeffrey D., <u>Principles of Database Systems</u>, Rockville: Computer Science Press, Inc., 1980.
- 21. Wiederhold, Gio, <u>Database Design</u>, New York: Mc Graw-Hill, Inc., 1977.
- 22. Yao, S. B., Shamkant B. Navathe, and Jay Louise Weldon, "An Integrated Approach to Logical Database Design," <u>Tutorial on Software Design Techniques</u>, New York: IEEE, Inc., pp. 357-359, 1980.

# INITIAL DISTRIBUTION LIST

		No.	copies
1.	Defense Technical Information Center		2
	Cameron Station		
	Alexandria, Virginia 22314		
2.	Library, Code 0142		2
	Naval Postgraduate School		
	Monterey, California 93940		
3.	Department Chairman, Code 52		1
	Department of Computer Science		
	Naval Postgraduate School		
	Monterey, California 93940		
4.	Frofessor Norman F. Schneidewind, Code 54 Ss		1
	Department of Computer Science		
	Naval Postgraduate School		
	Honterey, California 93940		
5.	Frofessor Norman R. Lyons, Code 54 Lb		1
	Department of Administrative Sciences		
	Naval Postgraduate School		
	Honterey, California 93940		
6.	Captain Moedjiono		1
	Cispullahtal		
	Jl. Gatot Subroto 101		
	Jakarta-Pusat		
	Indonesia		
7.	Cispullahtal		1
	Jl. Gatot Subroto 101		
	Jakarta-Pusat		
	Indonesia		

8.	Captain Peter L. Jones, USMC				
	Marine Corps Central Design				
	and Programming Activity				
	Marine Corps Development and Education Center				
9.	Colonel Tony Rumbayan				
	Systems Development Manager				
	Indonesian Army DP Management				
	Jl. Veteran 5 , PO Box 3341				
	Jakarta-Pusat				
	Indonesia				
10.	Major Mulyo Wibisono				

311 Casa Verde Way, Apt.#13 Honterey, California 93940